MASSACHUSETTS DEPARTMENT OF CORRECTION

PLANNED INSTITUTIONAL SEARCHES CONDUCTED BY SPECIAL OPERATIONS RESPONSE UNIT

103 DOC 561

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MASSACHUSETTS DEPARTMENT OF CORRECTION TITLE: PLANNED INSTITUTIONAL SEARCHES CONDUCTED BY THE SPECIAL OPERATIONS RESPONSE UNIT DIVISION: DEPUTY COMMISSIONER PRISION DIVISION NUMBER: 103 DOC 561

PURPOSE: 103 DOC 561.00 governs all Department of Correction ("Department") planned institutional searches conducted by the Special Operations Response Unit (S.O.R.U).

REFERENCES: M.G.L., c. 124 § 1 (q)

APPLICABILITY: Staff PUBLIC ACCESS: Yes

LOCATION: The Department's Central Policy File

Each Institution's Policy File
Each Unit Director's Policy File

RESPONSIBLE STAFF FOR IMPLEMENTATION AND MONITORING OF POLICY:

- Deputy Commissioner Prison Division

- Superintendents

- Director, Special Operations Division

EFFECTIVE DATE: 11/22/2012

CANCELLATION: 103 DOC 561.00 cancels all previous Department policy statements, bulletins, directives, orders,

notices rules or regulations regarding planned institutional searches conducted by the S.O.R.U.

which are not consistent with this policy

SEVERABILITY CLAUSE: If any part of 103 DOC 561.00 is, for

any reason, held to be in excess of the authority of the Commissioner, such decision shall not affect any other

part of this policy.

561.01 APPROVAL PROCESS

- 1. The Commissioner shall approve all planned institutional searches conducted by S.O.R.U.
- 2. Upon the Commissioner's approval, the assistant commander of the special operations command group, the tactical operations commander, and the institution superintendent shall develop an Operations Order. Prior to the initiation of the planned search, the Commissioner shall review and approve in writing the Operations Order.

561.02 MINIMUM REQUIREMENTS GOVERNING THE OPERATIONS ORDER

- 1. Tactical Operation Orders, governing a planned institutional search shall include:
 - A. Identification of institution;
 - B. Purpose of search, e.g., routine, random, recent intelligence obtained, specific incident that occurred. The purpose section of the operations order shall include a current institutional climate report and an up-to-date intelligence report;
 - C. Identification of the chain of command. The tactical operations commander shall develop an all-inclusive detailed organizational chart. The tactical operations commander shall report directly to the assistant commander of the special operations command group or the commander of the special operations group during all planned institutional searches conducted by the S.O.R.U.;
 - D. A mission statement that identifies all inmates and all areas of the institution that are subject to search. The mission statement shall also identify the date and time the operation should commence.

- 2. Each operations order shall specifically outline the following topics:
 - A. The minimum number of search teams needed;
 - B. The number of staff assigned to each search team;
 - C. Search team assignments (area, building, etc.);
 - D. The number of extraction teams needed;
 - E. Extraction team assignments (area, building, etc.);
 - F. Identification of communication procedures, assignment of radios, call signs, and frequencies;
 - G. The number of video recorder staff and equipment needed;
 - H. Video recorder staff assignments (i.e. Special Operations Command Group ("S.O.C.G."), S.O.R.U. and/or Institutional Staff);
 - I. Inner Perimeter Security team (IPS) roles and responsibilities;
 - J. The number of health care staff needed, and their roles and responsibilities;
 - K. The type and number of other institutional staff needed along with their roles and responsibilities;
 - L. Identification of inmate holding areas;
 - M. When applicable, provisions governing the use of walk through metal detectors and body or orifice scanning equipment.

561.03 MINIMUM REQUIREMENTS GOVERNING THE USE OF INSTITUTIONAL STAFF

1. Unless specifically excused by the Commissioner, the superintendent shall be on site during any planned institutional search conducted by S.O.R.U.

- 2. The director of security and/or a deputy superintendent shall be assigned by the superintendent to act as a liaison between the tactical operations commander and the superintendent. This liaison shall be physically present in each unit where inmates are being searched.
- 3. Deputy Superintendents, the director of security, unit team sergeants, correctional program officers, institutional property staff, grievance coordinator and any other additional staff identified by the superintendent shall visit all inmate housing units at the completion of any planned institutional search to listen to and record all inmate complaints. A detailed briefing shall be conducted at the completion of each unit's rounds. This briefing shall be videotaped.
- 4. superintendent shall provide the tactical operations commander with a list of all inmates requiring interpretation services. Spanish speaking interpreters shall be available at all times to assist in communicating with Spanish speaking inmates. English and non-Spanish speaking inmates shall offered telephonic interpreter services in accordance with 103 DOC 488, Telephonic Interpreter Service. Telephones with speakerphone capabilities shall available whenever the interpreter service is offered. These rounds shall be video taped by a member of the The deputy superintendent or director of security shall introduce and identify staff conducting unit rounds. Upon completing each units' rounds, a debriefing shall be conducted on camera, stating the issues, if any to a member of the S.O.R.U..

561.04 MINIMUM REQUIREMENTS GOVERNING THE USE OF MEDICAL STAFF

- 1. A member of the medical staff shall be physically present on each unit being searched and available to respond to any medical emergency and to examine all inmates that were subject to the use of force.
- 2. Prior to the commencement of a SORU search, medical staff shall provide a list to the superintendent of all inmates requiring special handling due to medical issues. Any casts, bandages, or artificial limbs may be scanned by a non-intrusive device approved by the

superintendent and the health service administrator. Because the use of hand-held and walkthrough metal detectors pose a risk to inmates with automatic implantable cardioverter defibrillators pacemakers, the superintendent shall inform the SORU Commander of the names and locations of such inmates. The SORU Commander shall ensure that alternate search procedures approved by the health service administrators are utilized when these conditions exist.

3. Medical staff shall be required to visit each housing unit at the completion of any planned institutional search to receive any additional inmate requests for medical attention.

561.05 MINIMUM REQUIREMENTS GOVERNING THE USE OF INNER PERIMETER SECURITY TEAMS (IPS)

- 1. The IPS team shall document, assign, monitor, and track all area(s) and inmate searches. The IPS team shall determine whether a disciplinary report is warranted for contraband secured.
- 2. Search documentation shall include the date, time, cell number or area, the name of personnel conducting the search, inmate's name and number, and a detailed listing of any contraband discovered. The results shall be documented in the Inmate Search Results, Cell Search Results, and/or Common Area Search Results screens on IMS, as appropriate in accordance with the 103 DOC 506.00 Search Policy. The institution's IPS team shall keep a copy of the completed search log and return the original to S.O.R.U..

561.06 MINIMUM REQUIREMENTS GOVERNING STRIP SEARCHES

1. All strip searches shall be conducted in accordance with 103 DOC 506.03. Strip searches of inmates shall be conducted in relative privacy by at least two (2) personnel rendering as much dignity to the situation as possible. Strip searches by members of the opposite sex shall not be permitted except under extraordinary or emergency situations and only after receiving approval from the Tactical Operations Commander (T.O.C.).

- 2. Whenever possible strip searches shall be conducted in a cell.
- 3. Upon completion of the strip search, inmates shall be restrained with handcuffs behind their back, unless otherwise ordered, and escorted to a designated holding area identified within the operations order.

561.07 MINIMUM REQUIREMENTS GOVERNING THE USE OF WALK THROUGH METAL DETECTORS OR BODY ORIFICE SCANNER SYSTEMS (WHEN APPLICABLE)

- 1. Inmates shall be required to successfully pass through a walk through metal detector or body orifice scanner system and shall do so wearing an institutional issue jump suit, or under shorts, immediately following a thorough strip search.
- 2. Any inmate who fails the walk through metal detector or the body orifice scanner system shall be restrained with handcuffs behind the back and escorted to a designated holding area in the health services unit. The inmate shall remain under constant staff observation until such time the intrusive body cavity search procedure outlined in 103 DOC 506.03 is completed.

561.08 MINIMUM REQUIREMENTS GOVERNING THE USE OF VIDEO RECORDERS

- 1. One (1) video recorder shall be located on site and utilized to record constant ongoing activity in each housing unit or area of the facility, where inmates are being searched. The video recorder shall be located and utilized strategically to maximize the view of the unit or area and the ongoing activity.
- 2. Depending upon the size of the operation and the physical plant of the institution, additional video recorders shall be on site and available to respond to any spontaneous use of force situations. The Operations Order shall indicate the number of video recorders needed for each operation and the procedures for responding to emergencies.

3. All inmates being escorted to the health service units, special management units or any other area of the facility for any reason shall be restrained and under constant observation of a video recorder. Staff members assigned to escort inmates shall complete an incident report documenting the time, date, name of inmate being escorted, destination, and a description of any unusual incidents that may have occurred during the escort, e.g., inmate refused to walk, the inmate fell down, inmate became disruptive.

561.09 MINIMUM REQUIREMENTS GOVERNING DISRUPTIVE INMATES

- 1. All uses of force shall be conducted in accordance with 103 CMR 505.00 Use of Force.
- 2. Any inmate who participates in conduct which disrupts or interferes with the security or orderly running of the planned search or institution may be removed from the immediate area and be subject to disciplinary action.
- 3. All planned forced movement of inmates shall be conducted in accordance with 103 DOC 503.00 Forced Movement of Inmates, and only after the approval of the superintendent or the tactical operations commander.
- 4. Spontaneous forced movement of inmates shall only occur when it is not possible to obtain timely authorization, and it is reasonable for personnel to believe that an emergency existed requiring the immediate removal of an inmate(s) to prevent serious disruption or significant interference with the planned search operation.
- 5. Incidents requiring the use of spontaneous force shall be reported immediately to the tactical operations commander.
- 6. The routine application of restraints and non-physical confrontational escorts shall not be considered as a use of force.

561.10 BRIEFINGS

- 1. Prior to any planned institutional search conducted by the S.O.R.U., the superintendent and the tactical operations commander shall ensure that all non-custody and medical staff are informed of their responsibility to report and document all inmate inquiries and incidents of suspected use of excessive force.
- 2. The superintendent and the tactical operations commander shall ensure that all search teams responsible for searching inmates requiring special handling due to medical issues are informed of all alternate search procedures.
- 3. Prior to any planned institutional search the tactical operations commander shall ensure that all S.O.R.U. staff are given clear direction regarding the reporting of any and all use of force incidents that occur during the search.

561.11 RECORD KEEPING

- 1. The tactical operations commander shall maintain all documentation generated during a planned institutional search.
- 2. The documentation shall be maintained in a six part folder format and include the following information:
 - A. Section One Operations order including the list of inmates requiring special handling due to medical issues and the list of inmates requiring interpretation services;
 - B. Section Two A thorough synopsis of the entire operation including what areas were searched and the number of inmates searched, including contraband results, the number of planned force moves, and the number of incidents which required the use of spontaneous force, the number of medical emergencies, and the number of disciplinary reports;
 - C. Section Three All use of force reports and incident reports describing staff escorts;
 - D. Section Four A chronological listing of all video recorder cassettes and their contents;

- E. Section Five Documentation to substantiate or report the suspected use of excessive force;
- F. Section Six Documentation to substantiate that all staff briefings were conducted in accordance with 103 DOC 561.10.